

SUB-COMMITTEE ON HUMAN ELEMENT,
TRAINING AND WATCHKEEPING
6th session
Agenda item 5

HTW 6/5/1
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GUIDANCE FOR STCW CODE, SECTION B-I/2

Proposed amendments to table B-I/2 of the STCW Code

Submitted by ICS

SUMMARY

Executive summary: This document provides proposed amendments to table B-I/2 of the STCW Code to improve the clarity of the guidance for Parties, Administrations, PSC authorities, recognized organizations and other relevant parties on the certificates and documentary evidence required under the 1978 STCW Convention, as amended.

Strategic direction, if applicable: 1

Output: 1.21

Action to be taken: Paragraph 12

Related documents: HTW 4/16; MSC 98/23; HTW 5/5, HTW 5/5/1, HTW 5/5/2, HTW 5/5/3, HTW 5/16 and MSC 100/20

Background

1 At the fourth session of the Sub-Committee on Human Element, Training and Watchkeeping (HTW 4), the Sub-Committee agreed to invite the Maritime Safety Committee to rename an existing output related to the 2010 Manila Amendments as an output on "Guidance for STCW Code, section B-I/2".

2 The ninety-eighth session of the Maritime Safety Committee (MSC 98) agreed to rename the output as "Guidance for STCW Code, section B-I/2", and extended the target completion year of the output to 2018.

3 Under the renamed output, HTW 5 considered documents HTW 5/5, HTW 5/5/1, HTW 5/5/2 and HTW 5/5/3. These documents all proposed amendments to table B-I/2 of the STCW Code with a view to addressing issues identified during the implementation of the 2010 Manila Amendments and providing better guidance to Parties, Administrations, PSC authorities, recognized organizations and other relevant parties.

4 After a lengthy discussion of the proposals, HTW 5 agreed that: the ultimate purpose of table B-I/2 should be to list the certificates or documentary evidence required under the STCW Convention regardless of the end users; the current general structure of table B-I/2 should be maintained; and a correspondence group should finalize the draft amendments to table B-I/2. It also agreed to invite MSC 100 to extend the target completion year to 2019.

5 The Correspondence Group was instructed to take into account the comments made and decisions taken at HTW 5, as well as documents HTW 5/5, HTW 5/5/2 and HTW 5/5/3, and finalize draft amendments to table B-I/2. A report was to be submitted to HTW 6.

6 ICS has prepared proposed amendments to table B-I/2 to provide a base document for finalizing the draft amendments during HTW 6.

Discussion

7 In preparing the proposed amendments, ICS took into account the comments made and decisions taken at HTW 5, as well as documents HTW 5/5, HTW 5/5/2 and HTW 5/5/3.

8 ICS has also applied some additional principles, specifically:

- .1 all text in the table sourced from the STCW Convention and Code should be reproduced verbatim; and
- .2 the number of rows in the table afforded to a certificate or documentary evidence should align with the different categories of seafarer it addresses.

9 As a consequence of applying these principles, ICS proposes to add a column within the current general structure of the table to address inconsistencies in the information provided as the "brief description". To avoid confusing some end users who may not be familiar with the STCW Convention and Code, ICS considers it important that the table distinguishes between information provided on the type of certificate or documentary evidence, the brief description of the training (often the title of the training) and the categories of seafarer it addresses.

10 ICS has not provided proposed amendments to the "Notes" found under table-B-I/2. This is because it was considered that it may be easier to prepare such amendments once the draft amendments to table B-I/2 have been finalized during HTW 6. Indicative references to where "Notes" are currently, or could be, included are proposed in the annex to this document.

Proposal

11 ICS proposes that the annex to this document containing proposed amendments to table B-I/2 is used as the base document for finalizing the draft amendments during HTW 6.

Action requested of the Sub-Committee

12 The Sub-Committee is invited to consider the comments provided and proposal in paragraph 11 and the annex, and take action as appropriate.

ANNEX

PROPOSED AMENDMENTS TO TABLE B-I/2 OF THE STCW CODE

List of certificates or documentary evidence required under the STCW Convention

The list below identifies all certificates or documentary evidence described in the Convention which authorize the holder to serve in certain capacities, perform certain duties functions or be assigned certain responsibilities on board ships. The certificates are subject to the requirements of regulation I/2 regarding language and their availability in original form.

The list also references the relevant regulations and the requirements for endorsement, registration and revalidation.

Regulations	Type of certificate or documentary evidence and brief description	Seafarers required to hold the certificate or documentary evidence	Endorsement attesting recognition of a certificate required ¹	Registration required ²	Revalidation of a certificate or maintenance of standard of competence required ³
II/1, II/2, II/3, III/1, III/2, III/3, III/6, IV/2, VII/2	Certificate of Competency ⁴ —For masters, officers and GMDSS radio operators	Masters, officers and GMDSS radio operators	Yes	Yes	Yes ⁵
II/4, II/5, III/4, III/5, III/7, VII/2	Certificate of Proficiency —For ratings duly certified to be a part of a navigational or engine-room watch	Ratings forming part of a navigational or engine-room watch (other than those under training or whose duties are of an unskilled nature), and ratings serving as able seafarer deck, able seafarer engine or electro-technical rating	No	Yes	No
II/5, III/5, III/7, VII/2	Certificate of Proficiency —For ratings duly certified as able seafarer deck, able seafarer engine or electro-technical rating		No	Yes	No
V/1-1, V/1-2	Certificate of Proficiency or endorsement to a Certificate of Competency – Basic training for oil and chemical or liquefied gas tanker cargo operations	Officers assigned specific duties and responsibilities related to cargo or cargo equipment on board tankers	Yes	Yes	Yes ⁵

Regulations	Type of certificate or documentary evidence and brief description	Seafarers required to hold the certificate or documentary evidence	Endorsement attesting recognition of a certificate required ¹	Registration required ²	Revalidation of a certificate or maintenance of standard of competence required ³
V/1-1, V/1-2	Certificate of Proficiency or endorsement to a Certificate of Competency – Advanced training for oil, chemical or liquefied gas tanker cargo operations For masters and officers on oil, chemical or liquefied gas tankers	Masters, chief engineer officers, chief mates, second engineer officers and any officer with immediate responsibility for loading, discharging, care in transit, handling of cargo, tank cleaning or other cargo-related operations on board tankers	Yes	Yes	Yes ⁵
V/1-1, V/1-2	Certificate of Proficiency or endorsement to an existing Certificate of Proficiency – Basic training for oil and chemical or liquefied gas tanker cargo operations For ratings on oil, chemical or liquefied gas tankers	Ratings assigned specific duties and responsibilities related to cargo or cargo equipment on board tankers	No	Yes	No
V/1-1, V/1-2	Certificate of Proficiency or endorsement to an existing Certificate of Proficiency – Advanced training for oil, chemical or liquefied gas tanker cargo operations	Any person, other than masters and officers, with immediate responsibility for loading, discharging, care in transit, handling of cargo, tank cleaning or other cargo-related operations on board tankers	No	Yes	No
V/2	Documentary evidence – Safety training Training for masters, officers, ratings and other personnel serving on Passenger ships	Personnel providing direct service to passengers in passenger spaces, on board passenger ships	No	No	No ⁴ No
V/2	Documentary evidence – Passenger ship crowd management training	Masters, officers, ratings qualified in accordance with chapters II, III and VI and other personnel designated on the muster list to assist passengers in emergency situations on board passenger ships	No	No	Yes ⁶

Regulations	Type of certificate or documentary evidence and brief description	Seafarers required to hold the certificate or documentary evidence	Endorsement attesting recognition of a certificate required ¹	Registration required ²	Revalidation of a certificate or maintenance of standard of competence required ³
V/2	Documentary evidence – Crisis management and human behaviour training	Masters, chief engineer officers, chief mates, second engineer officers and any person designated on the muster list of having responsibility for the safety of passengers in emergency situations on board passenger ships	No	No	Yes ⁶
V/2	Documentary evidence – Passenger safety, cargo safety and hull integrity training	Masters, chief engineer officers, chief mates, second engineer officers and every person assigned immediate responsibility for embarking and disembarking passengers, for loading, discharging or securing cargo, or for closing hull openings on board ro-ro passenger ships	No	No	Yes ⁶
V/3	Certificate of Proficiency – Basic training for service on ships subject to the IGF Code	Seafarers responsible for designated safety duties associated with the care, use or in emergency response to the fuel on board ships subject to the IGF Code	No	Yes	Yes ⁶
V/3	Certificate of Proficiency – Advanced training for service on ships subject to the IGF Code Training for masters, officers, ratings and other personnel on ships subject to the IGF Code	Masters, engineer officers and all personnel with immediate responsibility for the care and use of fuels and fuel systems on ships subject to the IGF Code	No	Yes	Yes ⁸ Yes ⁶
V/4	Certificate of Proficiency – Basic training for ships operating in polar waters	Masters, chief mates and officers in charge of a navigational watch on ships operating in polar waters, as required by the Polar Code	No	Yes	Yes ⁵
V/4	Certificate of Proficiency – Advanced training for ships operating in polar waters for masters and officers on ships operating in polar waters	Masters and chief mates on ships operating in polar waters, as required by the Polar Code	No	Yes	Yes ⁵

Regulations	Type of certificate or documentary evidence and brief description	Seafarers required to hold the certificate or documentary evidence	Endorsement attesting recognition of a certificate required ¹	Registration required ²	Revalidation of a certificate or maintenance of standard of competence required ³
VI/1	Certificate of Proficiency ⁵⁷ – Basic training	Seafarers employed or engaged in any capacity on board ship on the business of that ship as part of the ship's complement with designated safety or pollution-prevention duties in the operation of the ship	No	Yes	Yes ⁶ Yes ⁸
VI/2	Certificate of Proficiency ⁵⁷ – Survival craft and rescue boats other than fast rescue boats Survival craft, rescue boats and fast rescue boats	Seafarers designated to operate survival craft and rescue boats other than fast rescue boats	No	Yes	Yes ⁶ Yes ⁸
VI/2	Certificate of Proficiency ⁷ – Fast rescue boats	Seafarers designated to operate fast rescue boats	No	Yes	Yes ⁸
VI/3	Certificate of Proficiency ⁵⁷ – Advanced fire fighting	Seafarers designated to control fire-fighting operations	No	Yes	Yes ⁶ Yes ⁸
VI/4	Certificate of Proficiency ⁵⁷ – Medical first aid and medical care	Seafarers designated to provide medical first aid on board ship	No	Yes	No
VI/4	Certificate of Proficiency – Medical care	Seafarers designated to take charge of medical care on board ship	No	Yes	No
VI/5	Certificate of Proficiency – Ship security officer	Seafarers designated as ship security officer	No	Yes	No
VI/6	Certificate of Proficiency ⁷⁹ – Security awareness training or security training for seafarers with designated security duties	Seafarers employed or engaged in any capacity on board a ship which is required to comply with the provisions of the ISPS Code on the business of that ship as part of the ship's complement without designated security duties	No	Yes	No
VI/6	Certificate of Proficiency ⁹ – Training for seafarers with designated security duties	Seafarers designated to perform security duties, including anti-piracy and anti-armed-robbery-related activities	No	Yes	No